

# DEALING FORM

(This form should be used for all transactions other than the initial investment into any sub-fund)

## DSM CAPITAL PARTNERS FUNDS

**Please complete and sign this form. Return it no later than 4pm CET one Business Day prior to relevant Valuation Day.**

### **Northern Trust Luxembourg Management Company S.A.**

Transfer Agency Department  
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Luxembourg  
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Capitalized terms not defined in this Dealing Form shall have the same meaning as those defined in the latest prospectus (the "Prospectus") and articles of incorporation (the "Articles of Incorporation") of DSM Capital Partners Funds as well as the Key Investor Information Document (the "KIID") of the relevant sub-fund of DSM Capital Partners Funds (each a "Sub-Fund").

### **A. REGISTRATION DETAILS (Please use BLOCK CAPITALS)**

Client Account Name: \_\_\_\_\_  
Client account No.: \_\_\_\_\_  
Client Fax No.: \_\_\_\_\_  
Client Tel No.: \_\_\_\_\_  
Client E-mail address: \_\_\_\_\_  
Contact person: \_\_\_\_\_

### **B. KIID INVESTOR'S DECLARATION (FOR SUBSCRIPTIONS AND CONVERSIONS ONLY)**

The Key Investor Information Document ("KIID") is a pre-contractual document that contains key information for investors. It includes appropriate information about the essential characteristics of the sub-funds of DSM Capital Partners Funds to help investors to understand the nature and the risks of investing in DSM Capital Partners Funds.

- (1) I/We confirm that the current KIID of the sub-fund and share class (es) in which I/We am/are investing has been made available to me/us in a durable medium or by means of a website.
- (2) I/We confirm that I/we have read carefully and understood the KIID and have made further investigations as I/we or my/our representatives has/have deemed appropriate to evaluate the merits and risks of this investment.

## C. TRANSACTIONS DETAILS

Please refer to the Prospectus for the minimum investment amounts allowed for the Sub-Fund(s).

Registered Shares will be issued in your name(s). No certificates are issued for registered Shares.

All transactions are subject to the terms and conditions set out in the Prospectus, the Articles of Incorporation and the KIID.

SUBSCRIPTIONS				
Sub-Fund(s)	Class	Payment currency	Subscription / cash amount or shares in figures	Subscription/ cash amount or shares in words
GLOBAL GROWTH	CLASS A	EUR		
GLOBAL GROWTH	CLASS I1	EUR		
GLOBAL GROWTH	CLASS I2	EUR		
GLOBAL GROWTH	CLASS I1-AUD	AUD		
GLOBAL GROWTH	CLASS I2-AUD	AUD		
GLOBAL GROWTH	CLASS I1-JPY	JPY		
GLOBAL GROWTH	CLASS I2-JPY	JPY		
U.S. LARGE CAP GROWTH	CLASS A-USD	USD		
U.S. LARGE CAP GROWTH	CLASS A	EUR		
U.S. LARGE CAP GROWTH	CLASS I1	EUR		
U.S. LARGE CAP GROWTH	CLASS I2	EUR		
U.S. LARGE CAP GROWTH	CLASS I3-USD	USD		
U.S. LARGE CAP GROWTH	CLASS I1-USD	USD		
U.S. LARGE CAP GROWTH	CLASS I2-USD	USD		
U.S. LARGE CAP GROWTH	CLASS I1-JPY	JPY		
U.S. LARGE CAP GROWTH	CLASS I2-JPY	JPY		

Some subscriptions may be via contribution in kind of securities, please specify the approximate value of the assets which will be used to subscribe in this way:

Currency: \_\_\_\_\_ Value: \_\_\_\_\_

(Final value will be determined on the applicable Valuation)

<b>REDEMPTIONS</b>				
<b>Sub-Fund(s)</b>	<b>Class</b>	<b>Payment currency</b>	<b>Redemption cash amount or shares in figures</b>	<b>Redemption cash amount or shares in words</b>
GLOBAL GROWTH	CLASS A	EUR		
GLOBAL GROWTH	CLASS I1	EUR		
GLOBAL GROWTH	CLASS I2	EUR		
GLOBAL GROWTH	CLASS I1-AUD	AUD		
GLOBAL GROWTH	CLASS I2-AUD	AUD		
GLOBAL GROWTH	CLASS I1-JPY	JPY		
GLOBAL GROWTH	CLASS I2-JPY	JPY		
U.S. LARGE CAP GROWTH	CLASS A-USD	USD		
U.S. LARGE CAP GROWTH	CLASS A	EUR		
U.S. LARGE CAP GROWTH	CLASS I1	EUR		
U.S. LARGE CAP GROWTH	CLASS I2	EUR		
U.S. LARGE CAP GROWTH	CLASS I3-USD	USD		
U.S. LARGE CAP GROWTH	CLASS I1-USD	USD		
U.S. LARGE CAP GROWTH	CLASS I2-USD	USD		
U.S. LARGE CAP GROWTH	CLASS I1-JPY	JPY		
U.S. LARGE CAP GROWTH	CLASS I2-JPY	JPY		

<b>CONVERSIONS</b>		
Sub-fund(s)	Conversion cash amount or shares in figures	Conversion cash amount or shares in words
<b>Out</b>		
<b>In</b>		
<b>Out</b>		
<b>In</b>		

Use of Fax: Northern Trust Luxembourg Management Company S.A. is authorized to accept and execute any instructions received by fax until written notice of the contrary, the applicant assuming all risks. e.g. those arising from any error in communication or comprehension as well as those arising from fraud, resulting from the use of this communication mean and relieving Northern Trust Luxembourg Management Company S.A. from any and all responsibility in this respect.

#### **D. CONTRIBUTION DETAILS**

Payment of contributions should be made to the order of the Custodian three Business Days after the relevant Valuation Day

Payment has been made for value

<b>DD</b>	<b>MM</b>	<b>YY</b>

#### **CASH PAYMENT INSTRUCTIONS:**

##### **EUR:**

Bank: Société Générale Paris, France  
 Swift Code: SOGEFRPP  
 Account name: Northern Trust Global Services Limited, Luxembourg  
 (SWIFT BIC: CNORLULX)  
 Account number: IBAN FR7630003069900010158539714  
 Payment Reference: DSM - DCP99

##### **USD:**

Bank: The Northern Trust Company  
 Swift Code: CNORUS44  
 Account name: The Northern Trust Chicago  
 Account number: 5186061000  
 ABA: 071000152  
 Payment Reference: 17-00684 - DSM - DCP99

**JPY:**

Bank: The Hongkong & Shanghai Banking Corporation Ltd.  
 Swift Code: HSBCJPJT  
 Account name: Northern Trust Global Services Limited, Luxembourg  
 (SWIFT BIC: CNORLULX)  
 Account number: 009-030834-026  
 Payment Reference: DSM - DCP99

**AUD:**

Bank: HSBC Bank Australia Limited  
 Swift Code: HKBAAU2SSYD  
 Account name: Northern Trust Global Services Limited, Luxembourg  
 (SWIFT BIC: CNORLULX)  
 Account number: 011-798147-041  
 Payment Reference: DSM - DCP99

**E. REDEMPTION MANDATE DETAILS****Cash Transactions**

Payment of redemption proceeds in cash will be paid by the Custodian or its Agents no later than three Business Days after the relevant Valuation Day.

Account Name: \_\_\_\_\_  
 Account Number: \_\_\_\_\_  
 Bank Name: \_\_\_\_\_  
 Bank Address: \_\_\_\_\_  
 Bank Sort Code: \_\_\_\_\_  
 Bank Swift Code: \_\_\_\_\_  
 IBAN: \_\_\_\_\_

**Securities in kind transactions**

Where a request for redemption is to be satisfied wholly or in part by the delivery of securities in kind, the Shareholder and Management Company shall agree such separate arrangements as are necessary to conclude the transaction. Such agreement is not to be unreasonably withheld or delayed and shall be made in accordance with the provisions of the Prospectus and the Articles of Incorporation.

**F. SIGNATURES**

Authorized Signature(s): \_\_\_\_\_ Name \_\_\_\_\_ Date \_\_\_\_\_

Authorized Signature(s): \_\_\_\_\_ Name \_\_\_\_\_ Date \_\_\_\_\_